#### CLIFFORD CHANCE US LLP

200 Park Avenue New York, NY 10166 (212) 878-8000

TELECOPIER: (212) 878-8375

Date May 5, 2003 Attorney Docket No.5677-111

## TELECOPIER TRANSMISSION COVER SHEET

#### OFFICIAL

Phone Number Transmitting To: 703/746-5049

To:

United States Patent and Trademark Office

Examiner:

Christopher Tate

Group Art Unit:

1654

Paper(s) Being Transmitted: AMENDMENT

Number of Pages Being Transmitted (including cover): 26

**COMMENTS:** 

# CERTIFICATE OF FACSIMILE TRANSMISSION

Serial No.: 09/833,134

Filed: April 11, 2001

I hereby certify that these papers are being facsimile transferred to the United States Patent and Trademark Office on the date shown below.

Date: May 5, 2003

FOR FAX TRANSMISSION PROBLEMS, CALL. THIS FACSIMILE TRANSMISSION IS INTENDED SOLELY FOR THE USE OF THE PERSON OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. ANY REVIEW, DISSEMINATION, DISTRIBUTION, COPYING OR OTHER USE OF, OR THE TAKING OF ANY ACTION IN RELIANCE UPON. THIS TRANSMISSION OR ITS CONTENTS BY PERSONS OTHER THAN THE ADDRESSEE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ETHEORY, OR ARE UNCERTAIN ABOUT ITS PROPER HANDLING, PLEASE CALL US IMMEDIATELY (COLLECT AT.) AND RETURN THE ORIGINAL TRANSMISSION OF A PARTIES OF THE ORIGINAL TRANSMISSION OR THE ORIGINAL TRANSMIS THE ORIGINAL TRANSMISSION TO US BY MAIL. NYB 1412904.1

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being deposited electronically, via facsimile at (703) 746 504 to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date:

5/5/02

Name: Signature: Clifford Chance US LLP

Docket No. 5677-111

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Leo J. Romanczyk, Jr. et al.

Filed:

April 11, 2001

Group Art Unit:

1654

Serial No:

09/833,134

Examiner:

C. Tate

For:

EXTRACTION OF STEROLS FROM COCOA HULLS

Mail Stop: AF

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

## <u>AMENDMENT</u>

Sir:

This is submitted in response to the Office Action mailed March 11, 2003. A response is due June 11, 2003. Since this action is final, a response is being filed before May 11, 2003 so that the Examiner can issue an Advisory Action by June 11, 2003.

# In the Specification:

Please amend the application as follows:

Page 4, 5<sup>th</sup> paragraph rewrite as follows:

The preferred solvents are petroleum ether, hexane, pentane, and ethyl ether. The solvent is recovered by vacuum distillation or other conventional methods.

Amendment Docket No.5677-111Page 1 of 15

NYB 1408768.1

Page 5, 3<sup>rd</sup> full paragraph rewrite as follows:

Cocoa seeds with pulp removed from *Theobroma* cocoa pods were freeze-dried on a Labconco (Kansas City, MO) Freeze Dry System. The pulp and hulls were manually removed, and the freeze-dried hulls were ground to a fine powder with a Tekmar Mill (Cincinnati, OH). The ground mass was subjected to overnight extraction with redistilled petroleum ether (b. p. 38-39.6°C) in a Soxtec apparatus (Fisher Scientific, Springfield, NJ). The solvent was carefully removed by slow evaporation under a stream of nitrogen, and the resultant extracts were stored at -40°C.

Page 6, 2<sup>nd</sup> full paragraph, rewrite as follows:

Gas chromatography of sterol-TMS ether derivatives. Sterol-TMS ether derivatives were separated on a 25 m X 0.25 mm i.d. Quadrex (New Haven, CT) 50% methylphenylsilicone fused-silica capillary column, programmed at 250°C for 37 min., then 10°C/min to 300°C for 5 min on a Hewlett-Packard Model 5890A gas chromatograph. The injector and flame-ionization detector temperatures were set at 250 and 300°C, respectively. Helium was used as the carrier gas at a linear velocity (μ) of 25 cm/s. One μL injections were split 50:1. Quantitation was achieved by the ISTD technique (11). Peak identifications were made by comparison to the retention time (t<sub>R</sub>) of authentic sterol-TMS ether derivatives and by mass spectral analysis.

Page 7, 4th full paragraph rewrite as follows:

Combined capillary gas chromatography (GC) and gas chromatography 1 mass spectra (GC/MS) analysis were used to examine the sterol composition of the extracted cocoa oils. As shown in Figure 1, a typical sterol separation was encountered as well as the presence of several unknowns.

## In The Claims

Please cancel Claims 3, 4, 19, and 29 and amend Claim 2 so it reads as follows:

Amendment Docket No.5677-111Page 2 of 15

NYB 1408768.1